

PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/675,734
Filing Date	30 September 2003
First Named Inventor	Gillis et al.
Art Unit	2651
Examiner Name	Snizek, Andrew L.
Attorney Docket Number	HSJ9-2003-0117US1

Total Number of Pages in This Submission

7

**RECEIVED****AUG 22 2007****OFFICE OF PETITIONS****ENCLOSURES (Check all that apply)**

- |  |  |   |
|--|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input checked="" type="checkbox"/> Petition Renewed under 37 C.F.R. 1.183<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below):<br>-Certificate of Correction |
|--|--|---|

Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**Firm  
or  
Individual name

John J. Oskorep, Esq.

Signature

Date

31 July 2007

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name

John J. Oskorep, Esq.

Signature

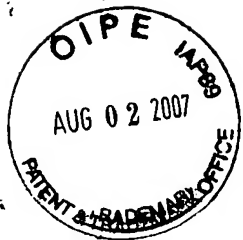
Date

31 July 2007

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**NOV 26 2007**



*Handwritten signature/initials*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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**AUG 22 2007**

In re Application of:	Gillis et al.	)	Group No.:	2651	<b>OFFICE OF PETITIONS</b>
		)			
Serial No.:	10/675,734	)	Examiner:	Snizek	
		)			
Filing Date:	09/30/2006	)	Docket No.:	HSJ9-2003-0117US1	
		)			
Patent No.:	US 6,954,327 B2	)			

Entitled: "METHODS AND APPARATUS FOR THERMALLY BONDING LUBRICANT TO A DISK SURFACE WITH USE OF A HEAT SOURCE IN A MAGNETIC HEAD"

Mail Stop Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Certificate**  
**AUG 07 2007**  
**of Correction**

Sir:

RENEWED PETITION UNDER 37 C.F.R. § 1.183 (§ 3.81(b) / § 1.323)

The Petitioner hereby respectfully submit this *Renewed Petition Under 37 C.F.R. § 1.183 (§ 3.81(b) / § 1.323)* for the above-referenced issued patent.

08/03/2007 TNGUYEN2 00000047 503972 6954327

01 FC:1811 100.00 DA

**NOV 26 2007**

#### REMARKS

In response to the *DECISION* mailed on 24 July 2007 for the *PETITION* mailed of 21 February 2006, the Petitioner hereby respectfully submit this *Renewed Petition Under 37 C.F.R. § 1.183* (§ 3.81(b) / § 1.323) for the above-referenced issued patent. The *DECISION* indicated that the initial *PETITION* was dismissed for, amongst other things, failing to satisfy the fee requirement of the regulation.

As an overview, the named assignee of the above-referenced issued patent 6,954,327 B2 was recited incorrectly. The Petitioner respectfully requests for the patent US 6,954,327 B2 to be corrected to state the correct name of the assignee.

In order for the Petitioner to correct such error under 37 C.F.R. § 1.323 (e.g. Certificate of Correction), a request under 37 C.F.R. § 3.81(b) must be submitted. Any such request for a patent to be corrected to state the name of the assignee must:

- (A) state that the assignment was submitted for recordation as set forth in 37 CFR 3.11 before issuance of the patent;
- (B) include a request for a certificate of correction under 37 CFR 1.323 along with the fee set forth in 37 CFR 1.20(a); and
- (C) include the processing fee set forth in 37 CFR 1.17(i).

In response to the *DECISION* and based on the above, the Petitioner respectfully submits this *RENEWED PETITION* with the following:

(A) The Petitioner respectfully submits that the assignment for the above-identified patent (US 6,954,327 B2) was submitted for recordation as set forth in 37 CFR 3.11 before issuance of the patent;

(B) The Petitioner contemporaneously submits herewith a request for a certificate of correction under 37 CFR 1.323, along with the appropriate fee set forth in 37 CFR 1.20(a) (presently \$100.00); and

(C) The Petitioner submits the processing fee set forth in 37 CFR 1.17(i) (presently \$130.00).

NOV 26 2007

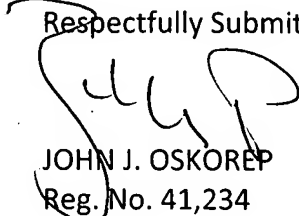
Based on the above, the Petitioner respectfully requests that this RENEWED PETITION be granted so that patent US 6,954,327 B2 can be corrected to state the correct name of the assignee.

Thank you. The Petition Reviewer is invited to contact the undersigned by telephone if necessary to expedite this matter.

Date:

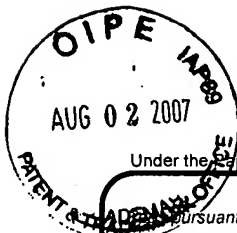
31 July 2007

Respectfully Submitted,

  
JOHN J. OSKOREP  
Reg. No. 41,234

JOHN J. OSKOREP, ESQ. LLC  
ONE MAGNIFICENT MILE CENTER  
980 N. MICHIGAN AVENUE, SUITE 1400  
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Effective on 12/08/2004.

Pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL

## For FY 2006

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 100.00

### Complete if Known

Application Number	10/675,734
Filing Date	30 September 2003
First Named Inventor	Gillis et al.
Examiner Name	Sniezek, Andrew L.
Art Unit	2651
Attorney Docket No.	HSJ9-2003-0117US1

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OFFICE OF PETITIONS

### METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify):

☒ Deposit Account Deposit Account Number: 50-3972 Deposit Account Name: John J. Oskorep, Esq.

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

### FEE CALCULATION

#### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

#### 2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180
<b>Total Claims</b>	<b>Extra Claims</b>	<b>Fee (\$)</b>
- 20 or HP =	x	=
HP = highest number of total claims paid for, if greater than 20.		
<b>Indep. Claims</b>	<b>Extra Claims</b>	<b>Fee (\$)</b>
- 3 or HP =	x	=
HP = highest number of independent claims paid for, if greater than 3.		

#### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets - 100 = Extra Sheets / 50 = Number of each additional 50 or fraction thereof Fee (\$)

#### 4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount) Fees Paid (\$)

Other (e.g., late filing surcharge): Certificate of Correction fee under 35 CFR 1.20(a) (1.323) 100.00

#### SUBMITTED BY

Signature		Registration No. (Attorney/Agent)	Telephone 312 222 1860
Name (Print/Type)	John J. Oskorep, Esq.		Date 31 July 2007

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17i (09-06)  
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

**PROCESSING FEE**  
**Under 37 CFR 1.17(i)**  
**TRANSMITTAL**

(Fees are subject to annual revision)

Send completed form to: Commissioner for Patents  
P.O. Box 1450, Alexandria, VA 22313-1450

Application Number	10/675,734
Filing Date	30 September 2007
First Named Inventor	Gillis et al.
Art Unit	2651
Examiner Name	Watko
Attorney Docket Number	HSJ9-2003-0117US1

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OFFICE OF PETITIONS

Enclosed is a paper filed under 37 CFR 3.81 that requires a processing fee (37 CFR 1.17(i)).  
Payment of \$ 130.00 is enclosed.

This form should be included with the above-mentioned paper and faxed or mailed to the Office using the appropriate Mail Stop, if applicable. For transmittal of petition fees under 37 CFR 1.17(f), (g) or (h), see form PTO/SB/17p.

**Payment of Fees** (small entity amounts are NOT available for the processing fees)

☒ The Commissioner is hereby authorized to charge the following fees to Deposit Account No. 50-3972.

☒ processing fee under 37 CFR 1.17(i) ☒ any deficiency of fees and credit of any overpayments

Enclose a duplicative copy of this form for fee processing.

☐ Check in the amount of \$ \_\_\_\_\_ is enclosed.

☐ Payment by credit card (Form PTO-2038 or equivalent enclosed). Do not provide credit card information on this form.

**Processing Fees under 37 CFR 1.17(i): Fee \$130 Fee Code 1808 for all,**  
**Except for § 1.221 papers (Fee Code 1803)**

For papers filed under:

- § 1.28(c)(3) - for processing a non-itemized fee deficiency based on an error in small entity status.
- § 1.41 - for supplying the name or names of the inventor or inventors after the filing date without an oath or declaration as prescribed by § 1.63, except in provisional applications.
- § 1.48 - for correcting inventorship, except in provisional applications.
- § 1.52(d) - for processing a nonprovisional application filed with a specification in a language other than English.
- § 1.53(b)(3) - to convert a provisional application filed under § 1.53(c) into a nonprovisional application under § 1.53(b).
- § 1.55 - for entry of late priority papers.
- § 1.71(g)(2) - to enter an amendment to the specification for purposes of 35 U.S.C. 103(c)(2) if not filed within the cited time periods
- § 1.99(e) - for processing a belated submission under § 1.99.
- § 1.103(b) - for requesting limited suspension of action, continued prosecution application (§ 1.53(d)).
- § 1.103(c) - for requesting limited suspension of action, request for continued examination (§ 1.114).
- § 1.103(d) - for requesting deferred examination of an application.
- § 1.217 - for processing a redacted copy of a paper submitted in the file of an application in which a redacted copy was submitted for the patent application publication.
- § 1.221 - for requesting voluntary publication or republication of an application. **Fee Code 1803**
- § 1.291(c)(5) - for processing a second or subsequent protest by the same real party in interest.
- § 1.497(d) - for filing an oath or declaration pursuant to 35 U.S.C. 371(c)(4) naming an inventive entity different from the inventive entity set forth in the international stage.
- § 3.81 - for a patent to issue to assignee, assignment submitted after payment of the issue fee.

Signature

John J. Oskorep, Esq.

Typed or printed name

31 July 2007

Date

41,234

Registration No., if applicable

This collection of information is required by 37 CFR 1.17. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 5 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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2007

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO. : 6,954,327 B2  
DATED : 11 October 2005  
INVENTOR(S) : Gillis et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In section 73: Assignee: please delete --Hitachi Global Storage Technologies, B.V., Amsterdam (NL)-- and replace with "Hitachi Global Storage Technologies Netherlands B.V., Amsterdam (NL)"

**MAILING ADDRESS OF SENDER:**

John J. Oskorep, Esq.      One Magnificent Mile Center  
980 North Michigan Avenue Suite 1400  
Chicago, Illinois 60611

PATENT NO. 6,954,327 B1

No. of additional copies

⇒ 1

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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